

DATE: February 16, 2019

CIRCULARS

RBI

Investment by Foreign Portfolio Investors (FPI) in Debt

NSE

- Order of the Hon???ble National Company Law Tribunal (NCLT) in the matter of Union of India, Ministry of Corporate Affairs, New Delhi vs Gitanjali Gems Limited & others
- Collection of Net Option Value for In/Out of the money options in Cash
- Introduction of NSCCL-MASS for functionalities currently available in NSE Clearing

 Management System (NCMS)
- Music Broadcast Limited (RADIOCITY) Face Value Split
- Operating ranges applicable to No Price Band Securities & Stock Futures contracts
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Revision in Trading Hours due to Day light saving
- Additional Market Maker for Vertoz Advertising Limited (VERTOZ)
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- Adjustment of Futures and Options contracts in the security OIL
- SEBI Single Registration for Uniform Membership Structure
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)

- CM Multiple Settlements scheduled on February 21, 2019
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- SEBI Order in the matter of Jolly Plastic Industries Ltd. in respect of Ms. Sapna Dilip Bombaywala (PAN: AMKPB6719K)
- Withdrawal of trading rights of member
- SEBI Order in the matter of Ganga Sagar Foods & Beverages India Limited
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)

BSE

- Collection of Net Option value for In/Out of the money options in Cash
- Order of the Hon'ble National Company Law Tribunal (NCLT) in the matter of Union of India. Ministry of Corporate Affairs vs Gitanjali Gems Limited & Others
- Introduction of Futures contracts on Cotton in Commodity Derivatives Segment
- Introduction of Futures Contracts on Gold Mini in Commodity Derivatives Segment
- Standardization of Register of Securities, Holding Statement, Bank Book and Client Ledger –
 "Upload of Holding Statement"
- Enhanced supervision: Monitoring of Clients' Funds lying with the Stock Broker for the week ended February 15, 2019.
- Non-Competitive Bidding Facility for Auction of Treasury Bills (T-Bills) on February 20, 2019
- Introduction of Futures contracts on GOLD Mini in Commodity Derivatives Segment
- Applicability of Additional Surveillance Measure
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Change of Name on account of Amalgamation
- New ISIN Number of Music Broadcast Limited (Scrip Code 540366)
- Applicability of Additional Surveillance Measure
- Graded Surveillance Measure (GSM) List of securities moving in to their respective GSM

 Stages

- Amendment in Liquidity Enhancement Scheme (LES) in Equity Derivatives
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Know Your Depositor Policy
- Opening of Repository Accounts with CDSL Commodity Repository Limited (CCRL) for generation of Exchange specific eNWR for settlement of delivery obligations
- SEBI Circular on "Revised Warehousing Norms in the Commodity Derivatives Market for Agricultural and Agri-processed Commodities Traded on the National Commodity Derivatives Exchanges" and "Uniformity in the procedure for obtaining samples of goods at the Exchange accredited warehouses".
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Waiver of processing charges for registration of Authorised Persons
- Registration of Authorised Person in new / additional segment and cancellation of Sub Broker

ICEX

• No New Circular

MCX

- Due Date Rates
- Advisory Committee at Market Infrastructure Institutions (MIIs)
- Commencement of Gold Option January 2020 Contract with Gold (1 Kg) Futures as underlying
- Migration of VSAT Network
- Point to Point and MPLS Leased Line Price Revision for Tata Communications Limited (TCL) and Bharti Airtel Limited (Airtel).
- Revision in Trading Timings
- Warehouse for Delivery of Lead & Lead Mini
- Revised List of Approved Securities, Approved Commodities and Approved Banks

SEBI

- Order in the matter of Wipro Limited
- Adjudication Order in respect of Poonam Drums and Containers Private Ltd., in the matter of Illiquid Stock Options at BSE
- Adjudication Order in respect of Insight Multitrading Private Ltd., in the matter of Confidence Finance and Trading Private Ltd.,
- Order in the matter of Pacific Finstock Ltd.
- Order passed in the matter of Jolly Plastic Industries Ltd.
- Order in the matter of Ganga Sagar Foods & Beverages India Limited and 07 others

NSDL

No New Circulars

NCDEX

- Transition towards Exchange specific eNWR for settlement of delivery obligations
- Contingency Mock and Revert to Primary Site for Live Trading

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